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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/444,818	11/22/1999	STEVEN DEARMOND CURTIN	10	9132

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EXAMINER

MIRZA, ADNAN M

ART UNIT

PAPER NUMBER

2141

DATE MAILED: 12/16/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/444,818

Applicant(s)

CURTIN, STEVEN DEARMOND

Examiner

Adnan M Mirza

Art Unit

2141

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09/30/02.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mackintosh et al (U.S. 6,317,784) and in view of Cluts (U.S. 5,616,876).

As per claims 1, 12 & 23 Mackintosh disclosed a method of providing information to a user, the method comprising the steps of: storing identification information extracted from a current broadcast which is being presented in a perceptible form to a user, in response to a command from the user (col. 6, lines 1-20), the command being entered at a wireless receiver, the identification information being extracted and stored without requiring any connection between the wireless receiver (col. 9, lines 19-33), the identification information being extracted and stored without requiring any connection between the wireless receiver and an access point of a data network (col. 8, lines 41-50), wherein the identification information specifies sufficient information to identify at least one deliverable information item associated with the broadcast (col. 3, lines 1-16);

However Mackintosh failed to disclose subsequently delivering at least a portion of the extracted identification information over the data network to a server which processes the identification information to identify the at least one deliverable information item associated with the

Art Unit: 2141

broadcast. In the same field of endeavor Cluts disclosed a delivering at least a portion of the extracted information over a network to a server which processes the delivered information to identify the at least one deliverable information item associated with the broadcast (col. 15, lines 14-25 & col. 6, lines 1-10).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have incorporated a delivery of extracted information over a network to a server and to identify at least one delivered information as taught by cluts in the method Mackintosh to reduce the latency and make the method more versatile.

3. As per claims 2 & 13 Mackintosh disclosed wherein the current broadcast comprises a particular piece of music (col. 2, lines 50-54).

4. As per claims 3 & 14 Mackintosh disclosed wherein the deliverable information item associated with the broadcast comprises a compilation which includes the piece of music (col.2, lines 50-54).

5. As per claims 4 & 15 Cluts disclosed wherein the deliverable information item comprises a disk-based storage medium having the piece of music stored thereon (col. 7, lines 2-13).

6. As per claims 5 & 16 Cluts disclosed wherein the deliverable information item comprises a downloadable file containing the piece of music (col.3, lines 19-24 & lines 60-64).

7. As per claims 6 & 17 Cluts disclosed wherein the identification information comprises at least one of an artist, a title, an album name, a label identifier, a source identifier, a date, and a time associated with the current broadcast of the piece of music (col. 4, lines 46-51 & col. 15, lines 14-25).

Art Unit: 2141

8. As per claims 7 & 18 Mackintosh disclosed wherein the identification information is extracted from a compressed digital audio bitstream associated with the current broadcast (col. 6, lines 5-15).

9. As per claims 8 & 19 Mackintosh disclosed wherein identification information is automatically extracted and stored for a plurality of distinct broadcasts upon entry of a corresponding user command (col. 5, lines 40-60).

10. As per claims 9 & 20 Mackintosh disclosed wherein the extracted identification information is stored in a memory of the wireless receiver which receives the current broadcast (col. 5, lines 39-60).

11. As per claims 10 & 21 Mackintosh disclosed wherein the extracted identification information is stored in a removable memory device associated with the wireless receiver which receives the wireless broadcast (col. 7, lines 2-13), and wherein the removable memory device is removable from the receiver and insertable into another device which establishes a network connection for delivery of the identification information to the server over the data network (col. 7, lines 14-27).

12. As per claims 11 & 22 Mackintosh disclosed wherein the extracted identification information is delivered to the server over a network connection established over the Internet (col. 9, lines 43-60).

Applicant's argument as follows:

13. Applicant amended the claims by adding the wireless technology as means of communication.

Art Unit: 2141

Mackintosh disclosed the materials provided to the broadcast Internet service provider can include the actual radio broadcast from the radio station as well as event codes indicating current tracks in that broadcast, current advertising in that broadcast, or other data associated with the real time broadcast (col. 8, lines 41-50).

Applicant's arguments were not persuasive therefore action is made Final.

Conclusion

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

15. Any inquiry concerning this communication or earlier communication from the examiner should be directed to Adnan Mirza whose telephone number is (703)-305-4633.

16. The examiner can normally be reached on Monday to Friday during normal business hours.

Art Unit: 2141

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wiley can be reached on (703)-308-5221. The fax for this group is (703)-746-7239.

17. The fax phone numbers for the organization where this application or proceeding is assigned are as follows:

(703)-746-7239 (For Status Inquiries, Informal or Draft Communications, please label

"PROPOSED" or "DRAFT");

(703)-746-7239 (For Official Communications Intended for entry, please mark "EXPEDITED PROCEDURE"),

(703)-746-7238 (For After Final Communications).

18. Any Inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)-305-3900.

Any response to a final action should be mailed to:

BOX AF


Commissioner of Patents and Trademarks Washington, D.C.20231

Or faxed to:

Hand-delivered responses should be brought to 4th Floor Receptionist, Crystal Park II,
2021 Crystal Drive, Arlington, VA 22202.

Adnan Mirza

Examiner

A handwritten signature in black ink, appearing to read 'Le Hien Luu', is written over a horizontal line.

LE HIEN LUU
PRIMARY EXAMINER